

# HEAPS

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Problem Solving with Computers-II

C++

```
#include <iostream>
using namespace std;

int main(){
    cout<<"Hola Facebook!";
    return 0;
}
```

GitHub



# Reminders

- PA02 released, due Wed of Week 10 (06/02).
- Lab05 due this Wed (05/19)
- zyBook, Chapter 7 activities due this Friday (05/21)
- Quiz 4 next week Mon (05/24): zybook chapters 5, 6, 7 (Run Time Analysis, Stack, Queue, STL)

BST - movie dataset

Part 1. Answer question about the data

Part 2. Running Time Analysis

# Heaps

- Clarification

- *heap*, the data structure is not related to *heap*, the region of memory

- What are the operations supported?

- What are the running times?

- How do we implement it?

**min-heap**  
Fast - insert  
min  
delete min

max-heap  
insert  
max  
delete max

STL

→	push()
→	<b>top()</b>
→	<b>pop()</b>
	empty()

Running Time  
no. of keys in the heap

**$O(\log N)$**   
 **$O(1)$**   
 **$O(\log N)$**

# Heaps

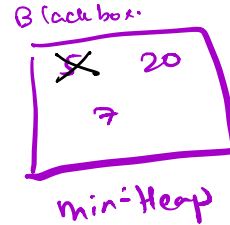
	Min-Heaps	Max-Heap
• Insert :	$O(\log N)$	$O(\log N)$
• Min:	$O(1)$	—
• Delete Min:	$O(\log N)$	—
• Max	—	$O(1)$
• Delete Max	—	$O(\log N)$

Any.  
BST  
 $O(N)$   
 $O(N)$   
 $O(N)$   
 $O(N)$   
 $O(N)$

Balanced BST  
 $O(\log N)$   
 $O(\log N)$   
 $O(\log N)$   
 $O(\log N)$   
 $O(\log N)$

## Applications:

- Efficient sort
- Finding the median of a sequence of numbers
- Compression codes



push(5)  
push(20)  
push(7)  
top() // 5  
pop()

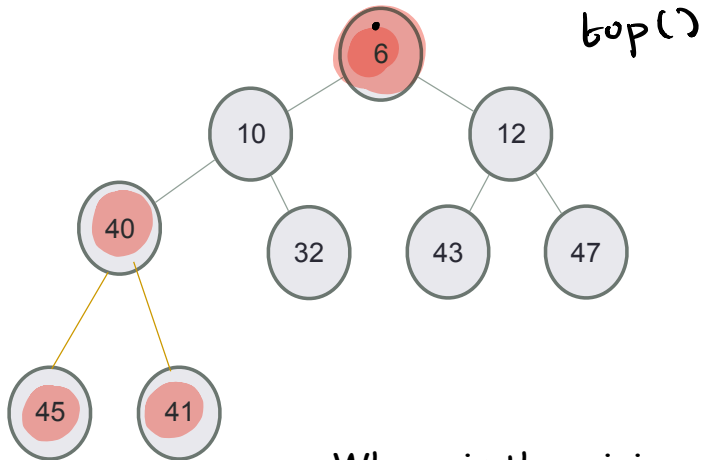
Choose heap if you are doing repeated insert/delete/(min OR max) operations

# Heaps as binary trees

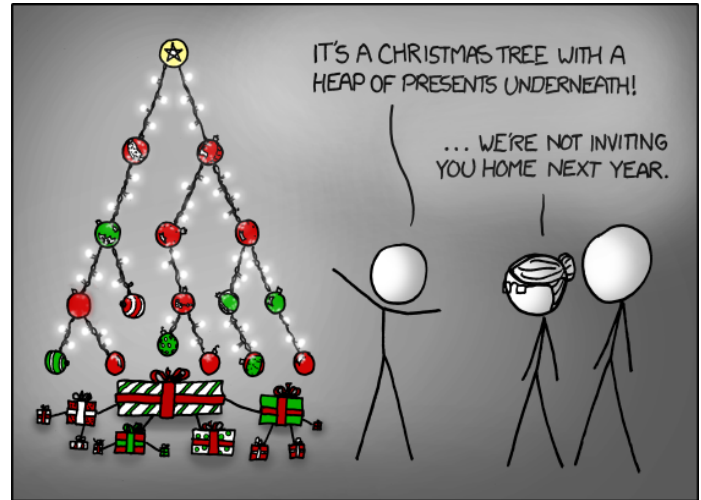
- Rooted binary tree that is as complete as possible
- In a **min-Heap**, each node satisfies the following **heap property**:

$$\text{key}(x) \leq \text{key}(\text{children of } x)$$

## Min Heap with 9 nodes



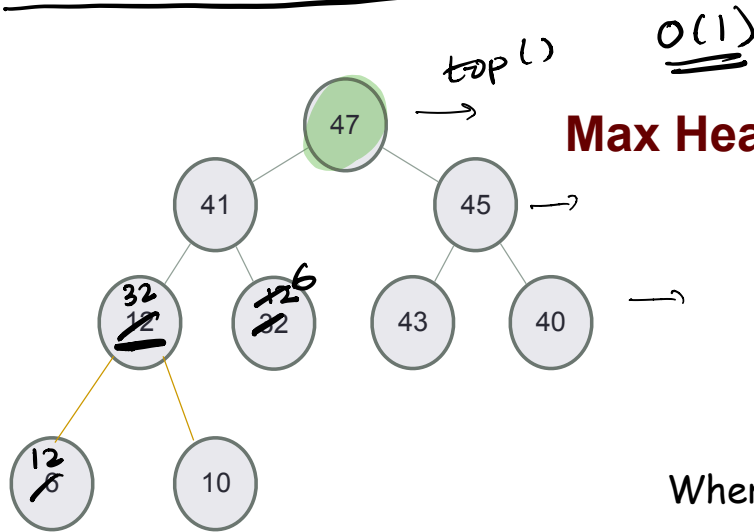
Where is the minimum element?



# Heaps as binary trees

- Rooted binary tree that is as complete as possible
- In a max-Heap, each node satisfies the following **heap property**:

$$\underline{\text{key}(x) \geq \text{key}(\text{children of } x)}$$

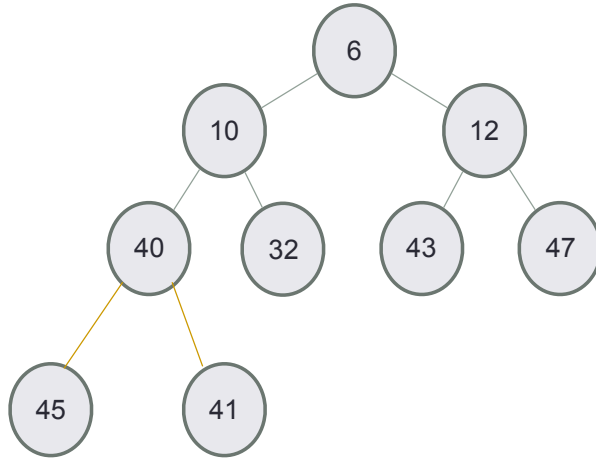


**Max Heap with 9 nodes**

Where is the maximum element?

# Structure: Complete binary tree

A heap is a complete binary tree: Each level is as full as possible.  
Nodes on the bottom level are placed as far left as possible



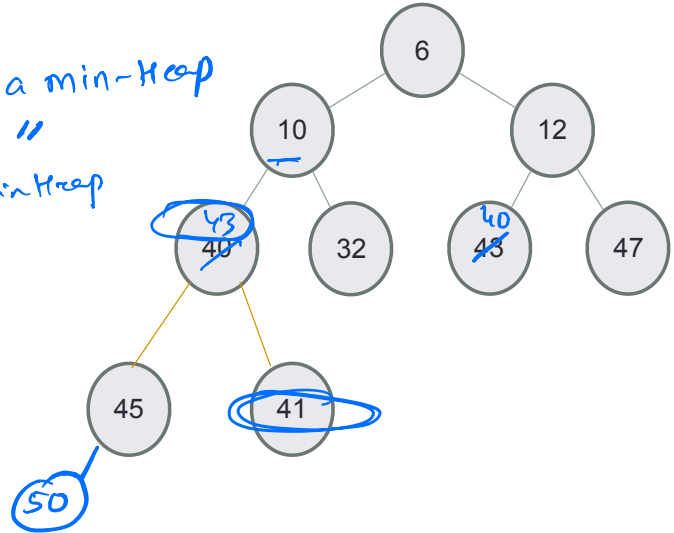
*push()* <sup>insert</sup>  $O(\log N)$

# Identifying heaps

Starting with the following min-Heap which of the following operations will result in something that is NOT a min Heap

- A. Swap the nodes 40 and 32 ✓ still a min-Heap
- B. Swap the nodes 32 and 43 ✓ "
- C. Swap the nodes 43 and 40 not a min-Heap
- D. Insert 50 as the left child of 45

**E. C&D**



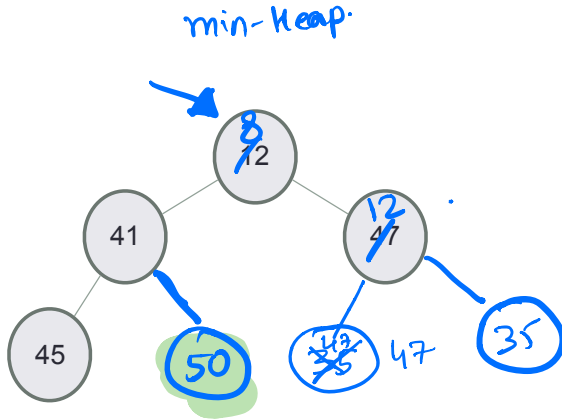


# Insert 50 into a heap

insert(35)

insert(8)

- Insert key(x) in the first open slot at the last level of tree (going from left to right)
- If the heap property is not violated - Done
- Else: while(key(parent(x)) > key(x)) swap the key(x) with key(parent(x)) [Bubbling up]

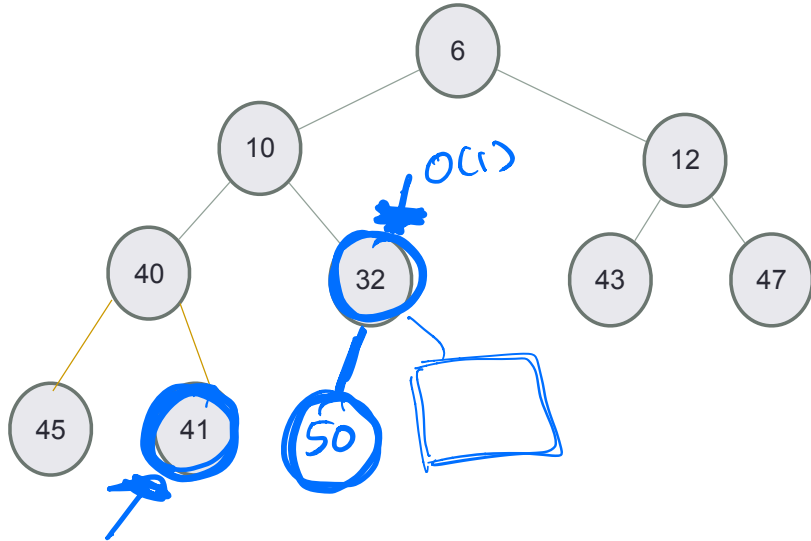


$O(N)$

$O(\log N)$

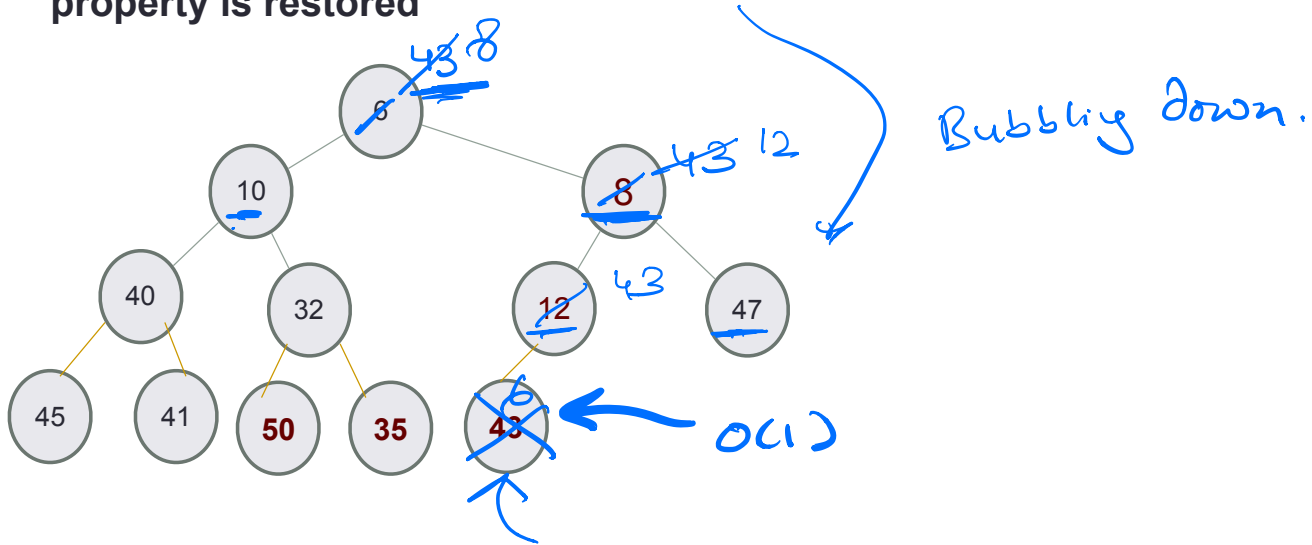
Insert 50 as the right child of 41 in  $O(1)$  (Merge?)

Insert 50, then 35, then 8



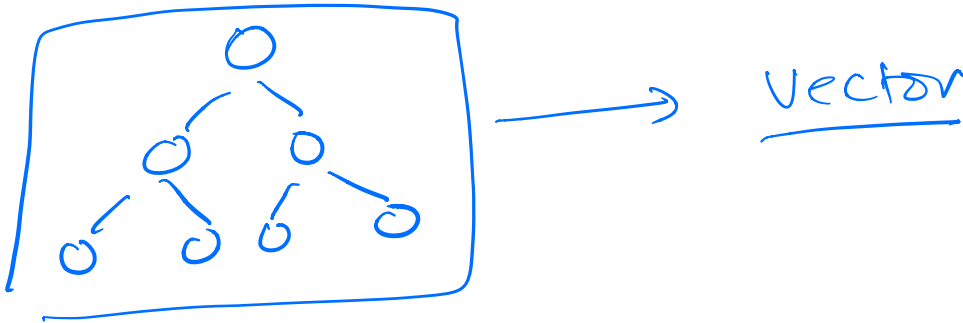
# Delete min

- Replace the root with the rightmost node at the last level
- “Bubble down”- swap node with one of the children until the heap property is restored



# Under the hood of heaps

- An efficient way of implementing heaps is using **vectors**
- Although we think of heaps as trees, the entire tree can be efficiently represented as a vector!!

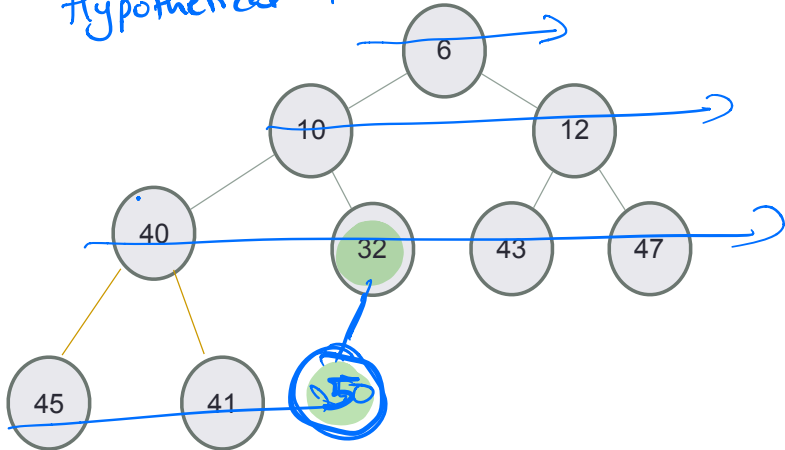


# Implementing heaps using an array or vector

Value	6	10	12	40	32	43	47	45	41	50
Index	0	1	2	3	4	5	6	7	8	9

↑ O(1)

Hypothetical Trees min heap



Using vector as the internal data structure of the heap has some advantages:

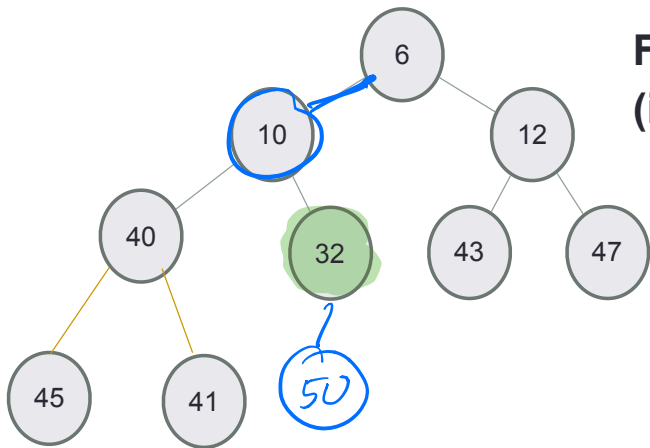
- More space efficient than trees
- Easier to insert nodes into the heap

# Finding the "parent" of a "node" in the vector representation

For a key at index  $i$ , index of the parent is  $(i-1)/2$

$$\text{IndexP}(i) = \frac{i-1}{2}$$

$$\frac{9-1}{2} = 4$$

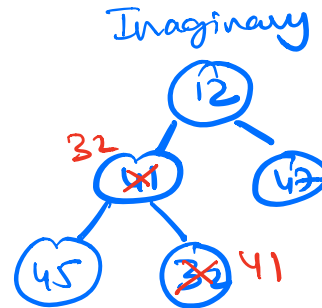
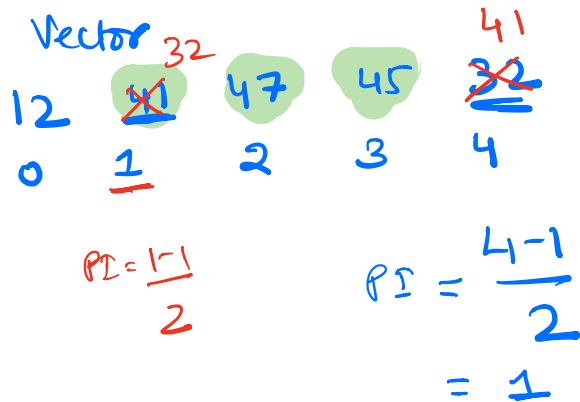


Value	6	10	12	40	32	43	47	45	41	50
Index	0	1	2	3	4	5	6	7	8	9
Index of Parent	-	0	0	1	1	2	2	3	3	4

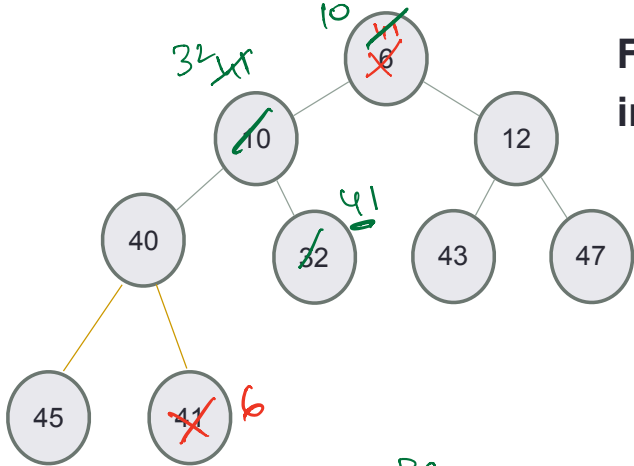
# Insert into a heap

- Insert key(x) in the first open slot at the last level of tree (going from left to right)
- If the heap property is not violated - Done
- Else....

Insert the elements {12, 41, 47, 45, 32} in a min-Heap using the vector representation of the heap



Insert 50, then 35 Delete 6



For a node at index  $i$ , index of the parent is  $\text{int}(i-1/2)$

$$\left( \frac{i-1}{2} \right)$$

Children ( $i$ )

$2i+1$  ← 9 Left child  
 $2i+2$  ← 10 Right child

vector  
 $v.size() - 1$

Value	<del>6</del>	10	12	40	<del>32</del>	43	47	45	<del>41</del>
Index	0	1	2	3	4	5	6	7	8
Left child	1	3	5	7	9				
Right child	2	4	6	8	10				

← index out of bound means no right child.



# Insert 8 into a heap

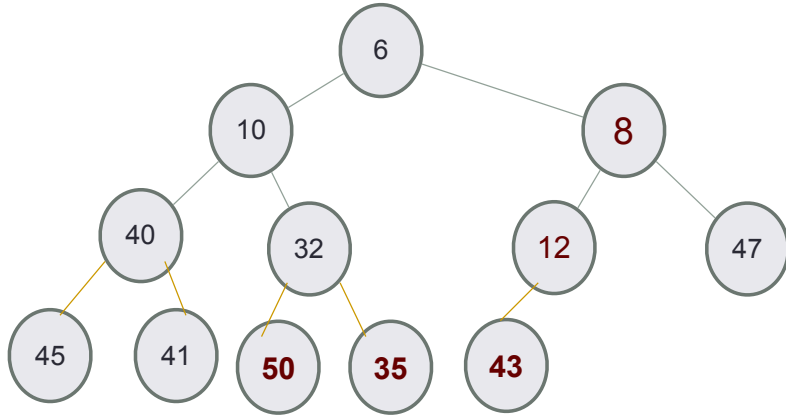
<b>Value</b>	<b>6</b>	<b>10</b>	<b>12</b>	<b>40</b>	<b>32</b>	<b>43</b>	<b>47</b>	<b>45</b>	<b>41</b>	<b>50</b>	<b>35</b>
<b>Index</b>	0	1	2	3	4	5	6	7	8	9	10

After inserting 8, which node is the parent of 8 ?

- A. Node 6
- B. Node 12
- C. None 43
- D. None - Node 8 will be the root

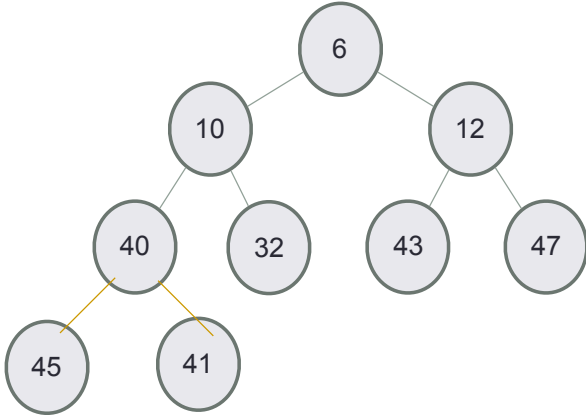
# Delete min

- Replace the root with the rightmost node at the last level
- “Bubble down”- swap node with one of the children until the heap property is restored



## Traversing down the tree

Value	6	10	12	40	32	43	47	45	41	
Index	0	1	2	3	4	5	6	7	8	



For a node at index  $i$ , what is the index of the left and right children?

A.  $(2*i, 2*i+1)$

**B.  $(2*i+1, 2*i+2)$**

C.  $(\log(i), \log(i)+1)$

D. None of the above

# Next lecture

- Under the hood of heaps
- More on STL implementation of heaps (priority queues)