

# MERGE SORT: DIVIDE AND CONQUER

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Link to practice handout

<https://bit.ly/Divide-and-Conquer-Practice>

# Divide and Conquer Algorithms

## Algorithm Approach:

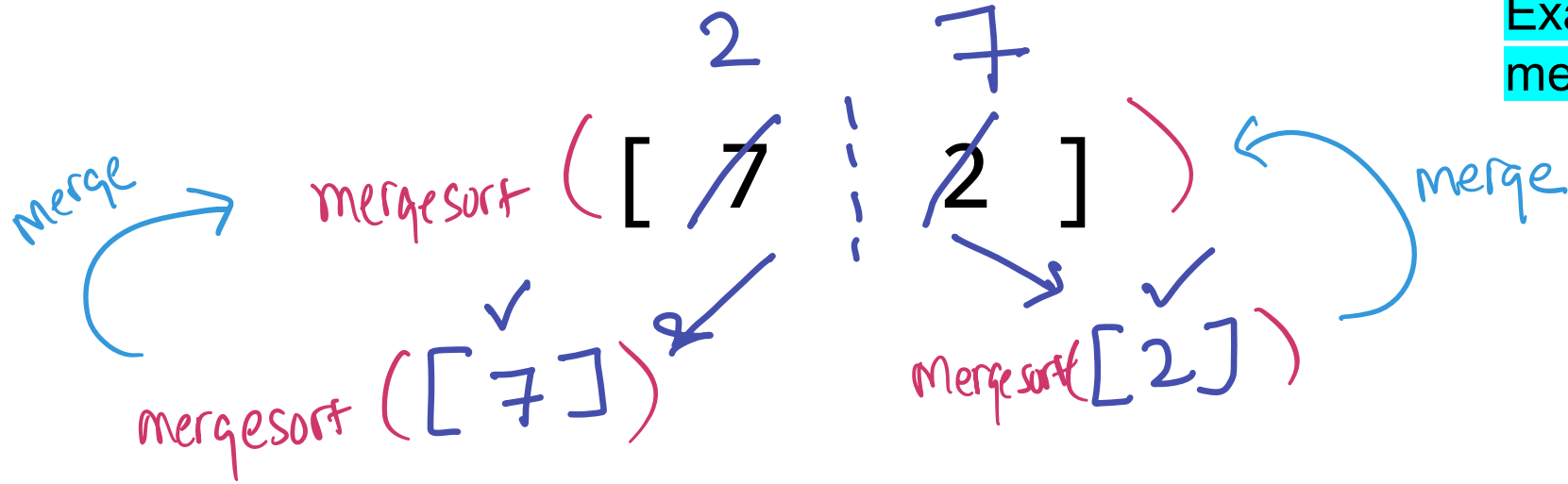
- **Divide** a large problem into sub-problems
- **Solve** each sub-problem
- **Combine** the solutions of sub-problems to obtain the solution for the original problem

# Merge Sort Algorithm

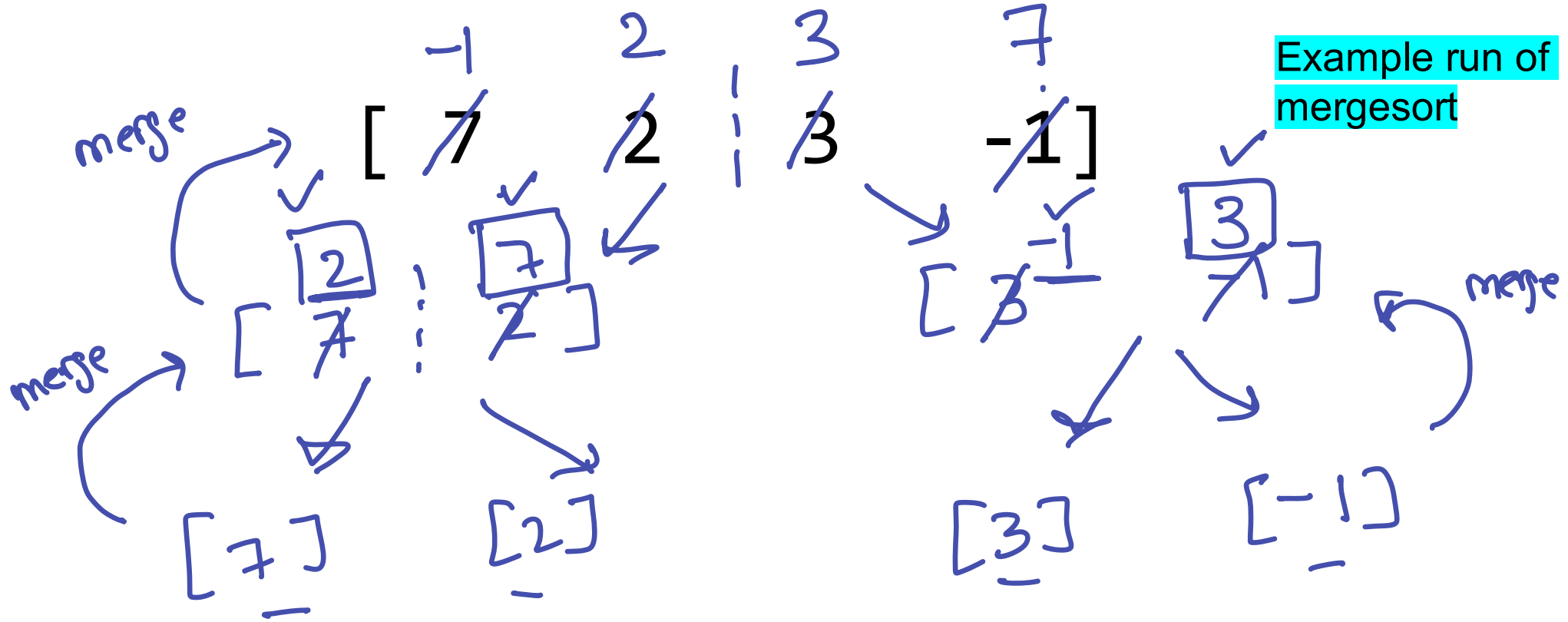
`MergeSort(vector v)`

- Divide `v` into left half and right half
- Sort the left half, then sort the right half
- Combine (merge) the two sorted halves

Example run of  
mergesort



Example run of mergesort



[ 7   2   5   |   3   -1 ]

Example run of  
mergesort

[ 7   2   5 ]

[ 3   -1 ]

[ 7   2 ]

[ 5 ]

[ 3 ]

[ -1 ]

[ 7 ]

[ 2 ]

What is the height of the binary tree trace of mergeSort?

- A. 1.   B. 2   C. 3   D. 4   E. 5

Generalize the  
answer for an input  
vector of size  $n$

# Running Time Analysis

$[7 \quad 2 \quad 5 \quad 3 \quad -1]$  level 0  
 $T(n) = \# \text{ copy operations to split lists} + \# \text{ comparisons to merge lists} + \# \text{ function calls}$

$[7 \quad 2 \quad 5] \quad [3 \quad -1]$  (n copies + n comparisons)  
 level 1

$[7 \quad 2] \quad [5] \quad [3] \quad [-1]$   
 level 2

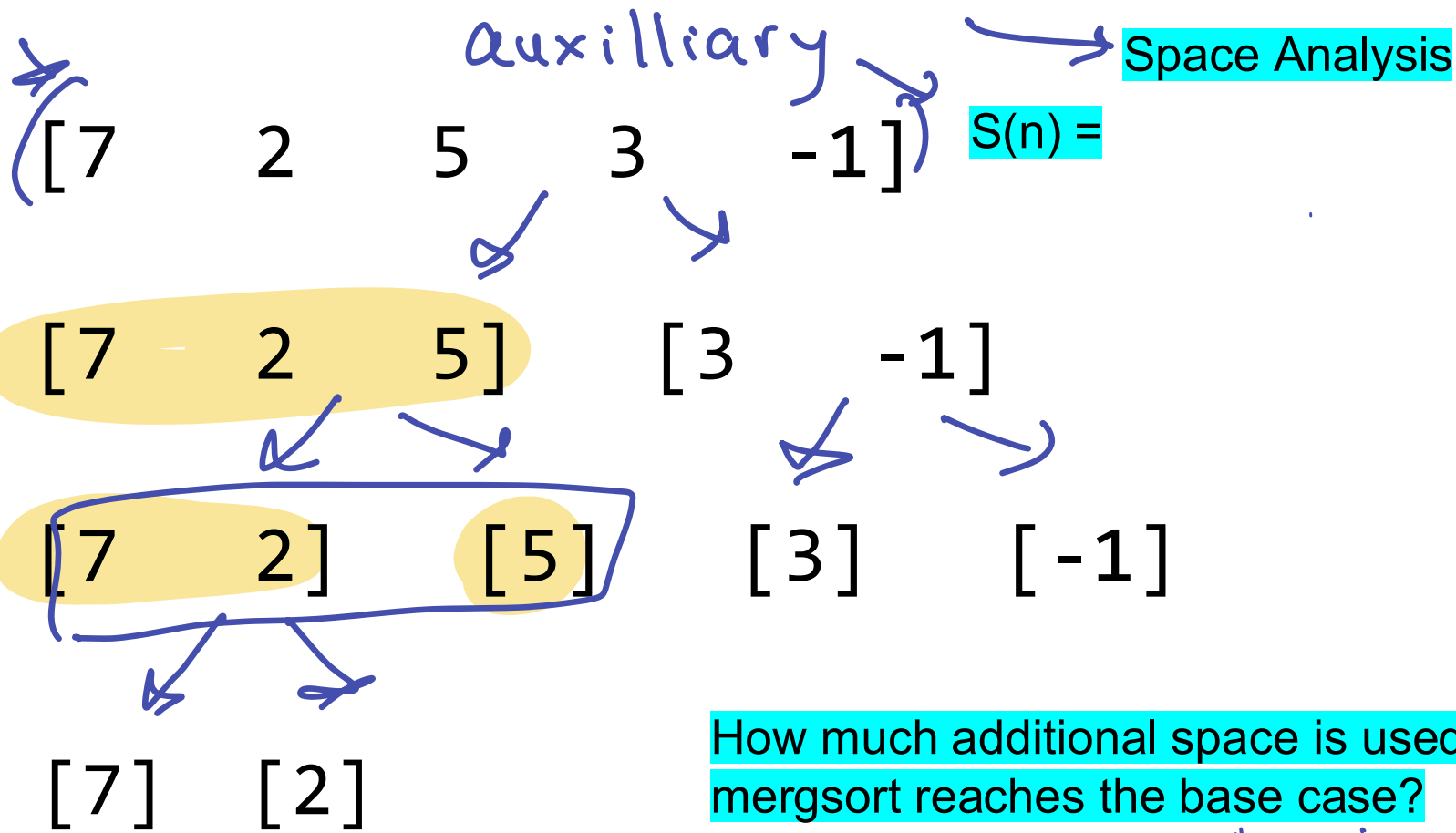
$[7] \quad [2]$  level 3

Observe that at each level, mergesort needs to copy  $n$  elements to split lists and  $n$  comparisons.  
 max number of levels,  $H = \log_2 n$

$$\begin{aligned}
 \# \text{ Function calls} &\leq 2^0 + 2^1 + \dots + 2^n \\
 &= 2^{n+1} - 1 \\
 &= 2 \cdot 2^{\log_2 n} - 1 \\
 &= 2n - 1
 \end{aligned}$$

$$T(n) = \underbrace{\log_2 n}_{\text{max depth of recursion}} \cdot (\underbrace{O(n)}_{\text{copies per level}} + \underbrace{O(n)}_{\text{comparisons per level}}) + 2n + 1$$

bound on the number of function calls



How much additional space is used by the time mergesort reaches the base case?

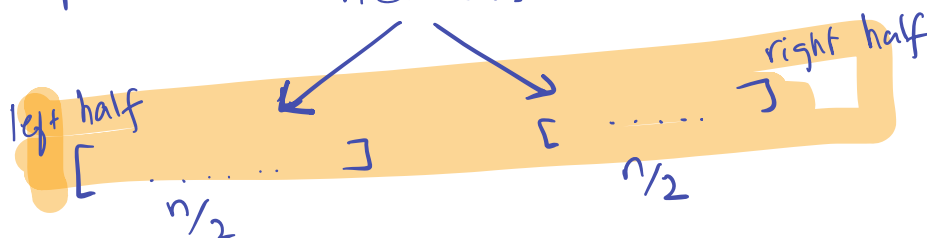
- A.  $n \cdot \log(n)$  *Assume all recursive calls are active simultaneously*
- B.  $n + n/2 + n/4 + n/8 + \dots + 1$
- C.  $n + n/2 + n/4 + n/8 + \dots + 1 + \log(n) = O(n) + O(\log n) = O(n)$   *$S(n)$*
- D. Something else



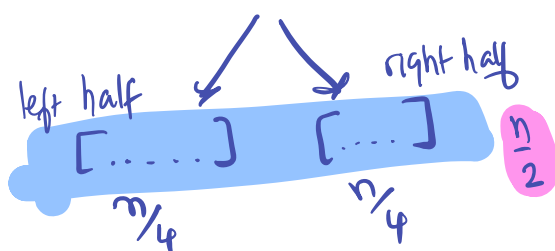
# Analysis of Space Complexity of MergeSort.

main insight: Not all recursive calls are active simultaneously.

mergesort ( [ ..... ] )



→ n elements



Consider the space used to store left & right vectors when the maximum depth of the recursion is reached. This is the peak auxiliary space usage

Summing up space for left & right halves at each level we get:

$$n + \frac{n}{2} + \frac{n}{4} + \frac{n}{8} + \dots + 1$$

$$= n \left( 1 + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \dots + \frac{1}{n} \right)$$

converges to a constant

$$= O(n)$$

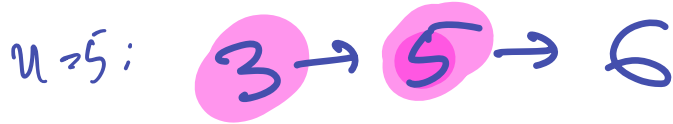
Space required for function calls alone until max depth of recursion =  $O(\log(n))$

$$S(n) = n \left( 1 + \frac{1}{2} + \dots + \frac{1}{n} \right) + \log(n) = O(n) + O(\log n) = O(n)$$

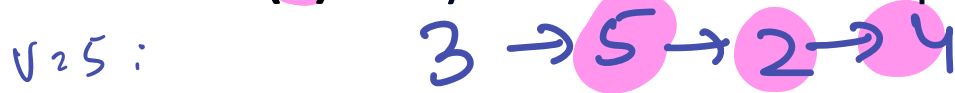
**Path:** a sequence of nodes in which each node is connected by an edge to the next.



**Ancestor(u):** any node that is on a path ending in u

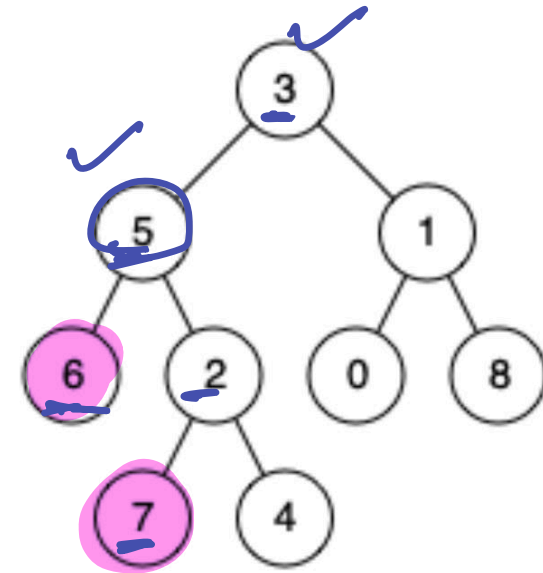


**Descendant(v):** any node that is on a path starting from v



**Common ancestor(u, v):** any node that is the ancestor of both u and v

**Lowest Common ancestor(u, v):** deepest node in the tree that is a common ancestor of u and v



# Approach: Divide and Conquer

What is the LCA of each of the following?

5 and 1: 3

5 and 4: 5

6 and 7: 5

Base case:

if current node is either value or null return current node

Discuss how you would solve the problem with your neighbor, trace your solution, describe in words, then implement Leetcode or handout

<https://bit.ly/Divide-and-Conquer-Practice>

<https://leetcode.com/problems/lowest-common-ancestor-of-a-binary-tree/>

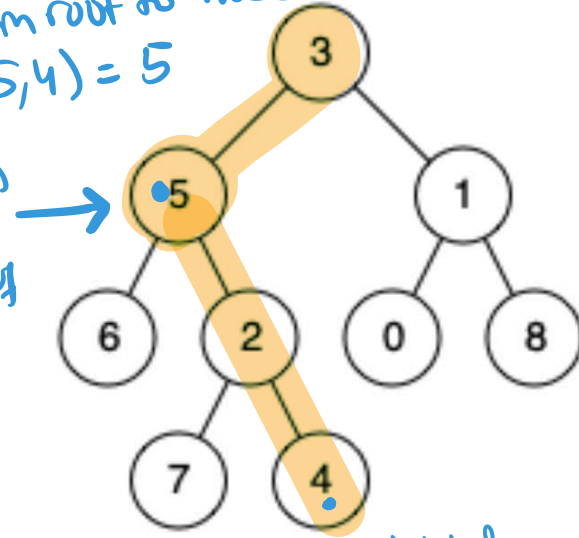
Finding LCA(5,4)

Path from root to node 5:  $3 \rightarrow 5$

Path from root to node 4:  $3 \rightarrow 5 \rightarrow 2 \rightarrow 4$

$LCA(5,4) = 5$

$LCA(5,4)$ : deepest node in the tree that is common ancestor of 5 & 4



Outline:

Subproblems to solve find LCA & conquer

- Find LCA on left subtree
- Find LCA on right subtree
- Merge results to get LCA of root tree

consider base cases